

*L. Nelson*  
*#2/IDS*  
*9-26-01*

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Scott E. Moore

Attorney Docket No.: 500199.05

Filed : Concurrently Herewith

Title : METHOD AND APPARATUS FOR PLANARIZING A MICROELECTRONIC  
SUBSTRATE WITH A TILTED PLANARIZING SURFACE

## INFORMATION DISCLOSURE STATEMENT

Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/388,828, filed September 1, 1999. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

A handwritten signature in black ink, appearing to read "Steven Arterberry". The signature is fluid and cursive, with a large loop at the end of the last name.

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Enclosure:  
Form PTO-1449

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
500199.05APPLICATION NO.  
not yet assigned**INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)APPLICANT(S)  
Scott E. MooreFILING DATE  
Concurrently HerewithGROUP ART UNIT  
not yet assigned10971 U.S. PTO  
09/09/13

08/13/01

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,237,230	3/1966	Forrest			
	AB	5,912,184	06/15/99	Young	438	692	
	AC	5,957,750	9/28/99	Brunelli	451	7	
	AD	5,997,385	12/07/99	Nishio	451	56	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).